

Bidirectionalization for Free with Runtime Recording

Or, a Light-Weight Approach to the View-Update Problem

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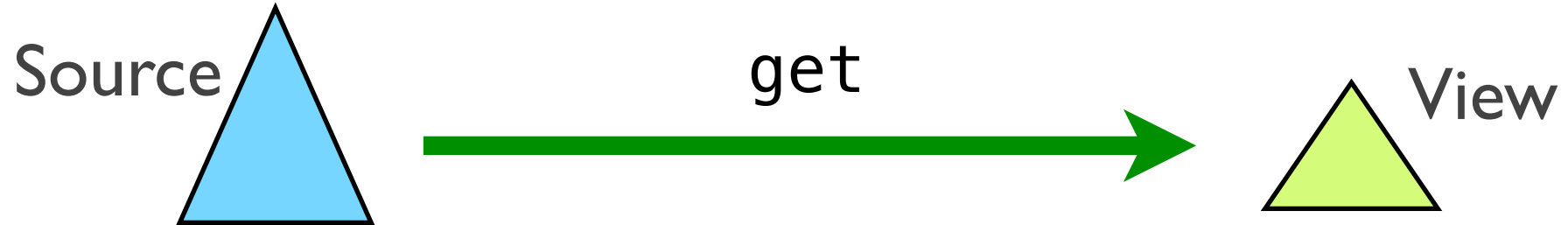
PPDP 2013 @ Madrid, Spain

This Talk is About ...

- ▶ A lightweight & automatic way to construct ***bidirectional transformation*** from unidirectional transformation

Bidirectional Transformation

- ▶ = transformation pair: **get** & **put**
 - formalization of the view-update problem



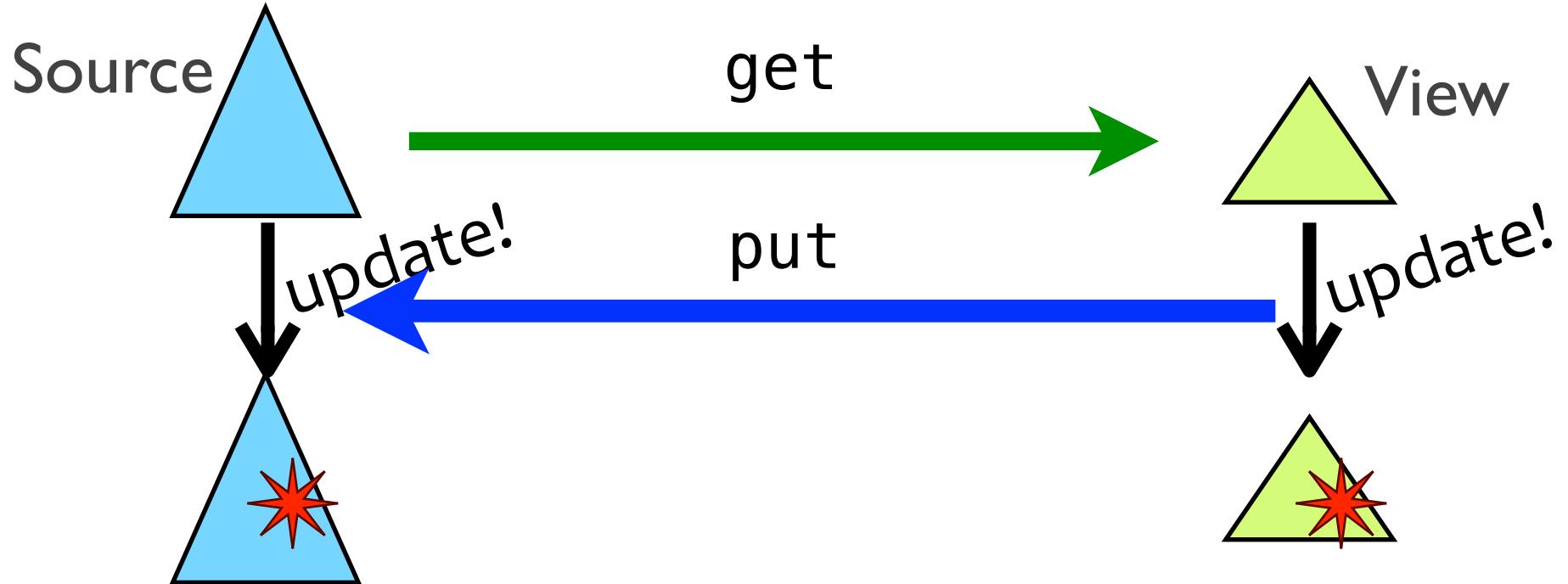
Bidirectional Transformation

- ▶ = transformation pair: **get** & **put**
 - formalization of the view-update problem



Bidirectional Transformation

- ▶ = transformation pair: **get** & **put**
 - formalization of the view-update problem



Well-Behavedness

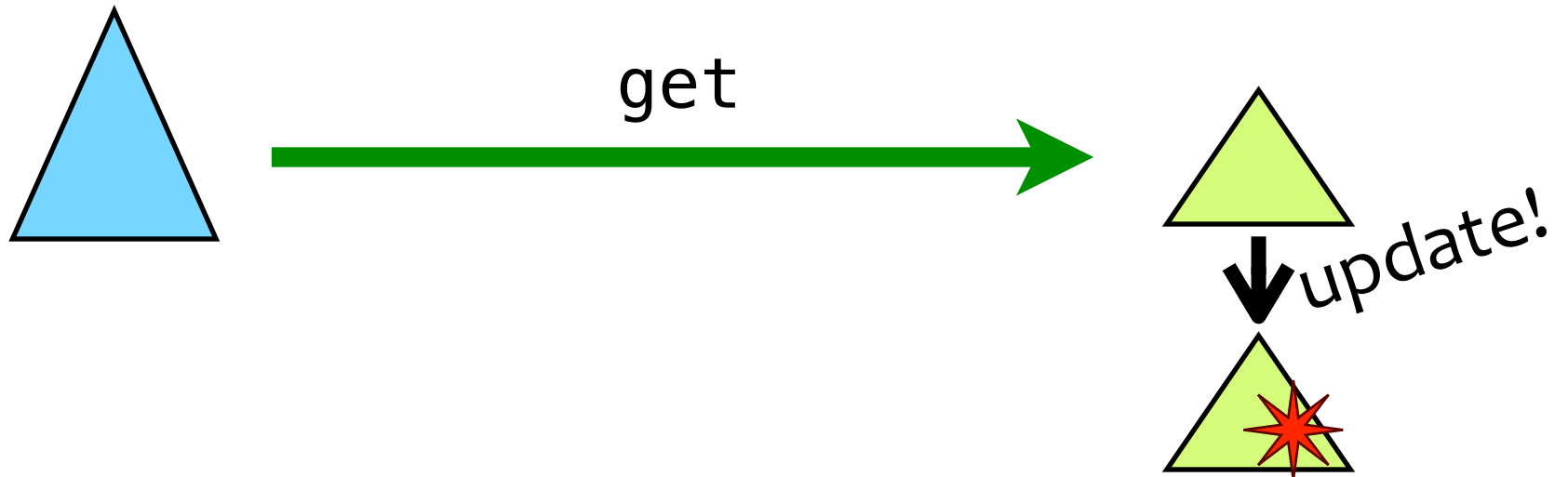
- ▶ *Roundtrip Law*

- ▶ ...

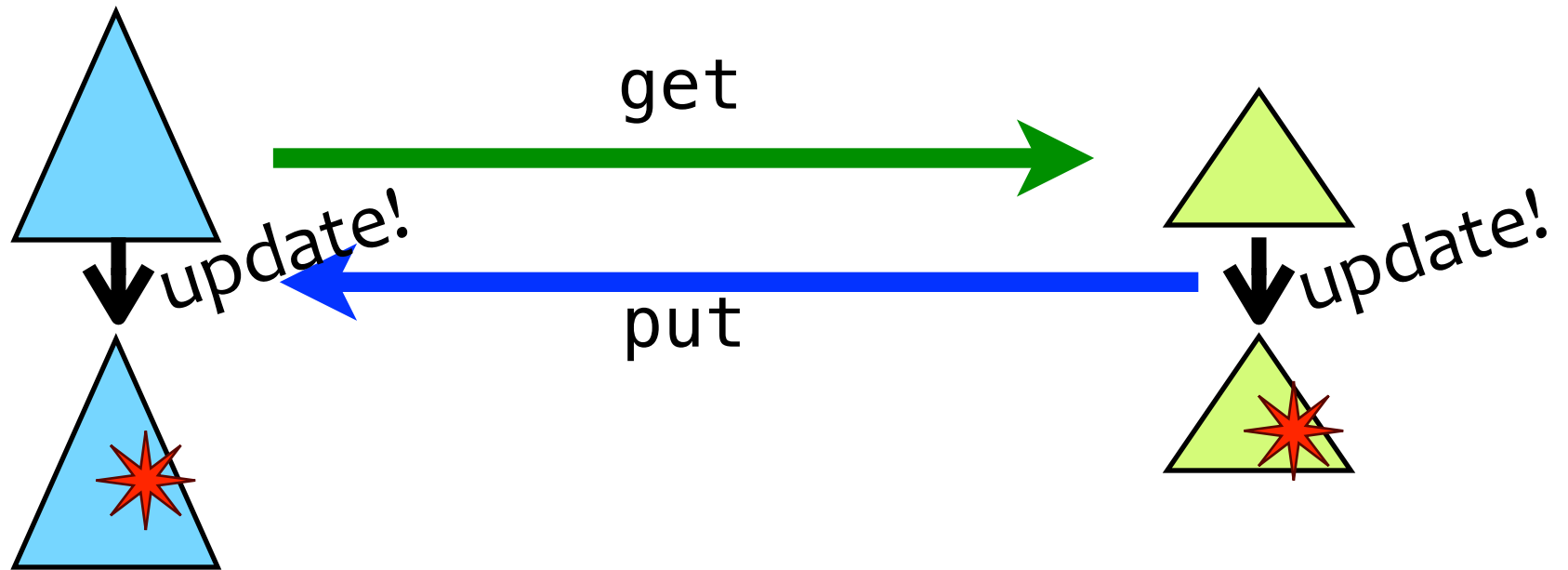
Roundtrip Law



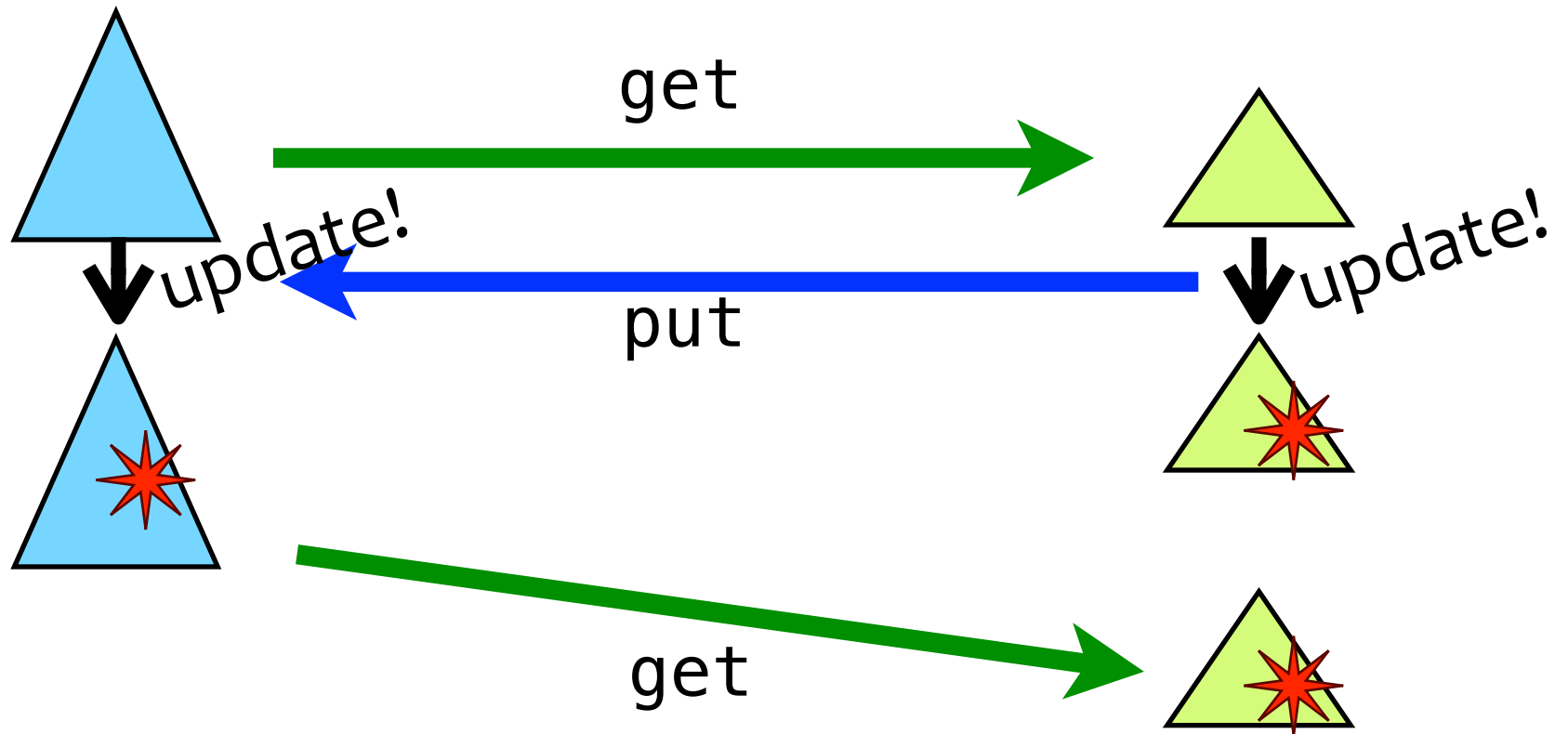
Roundtrip Law



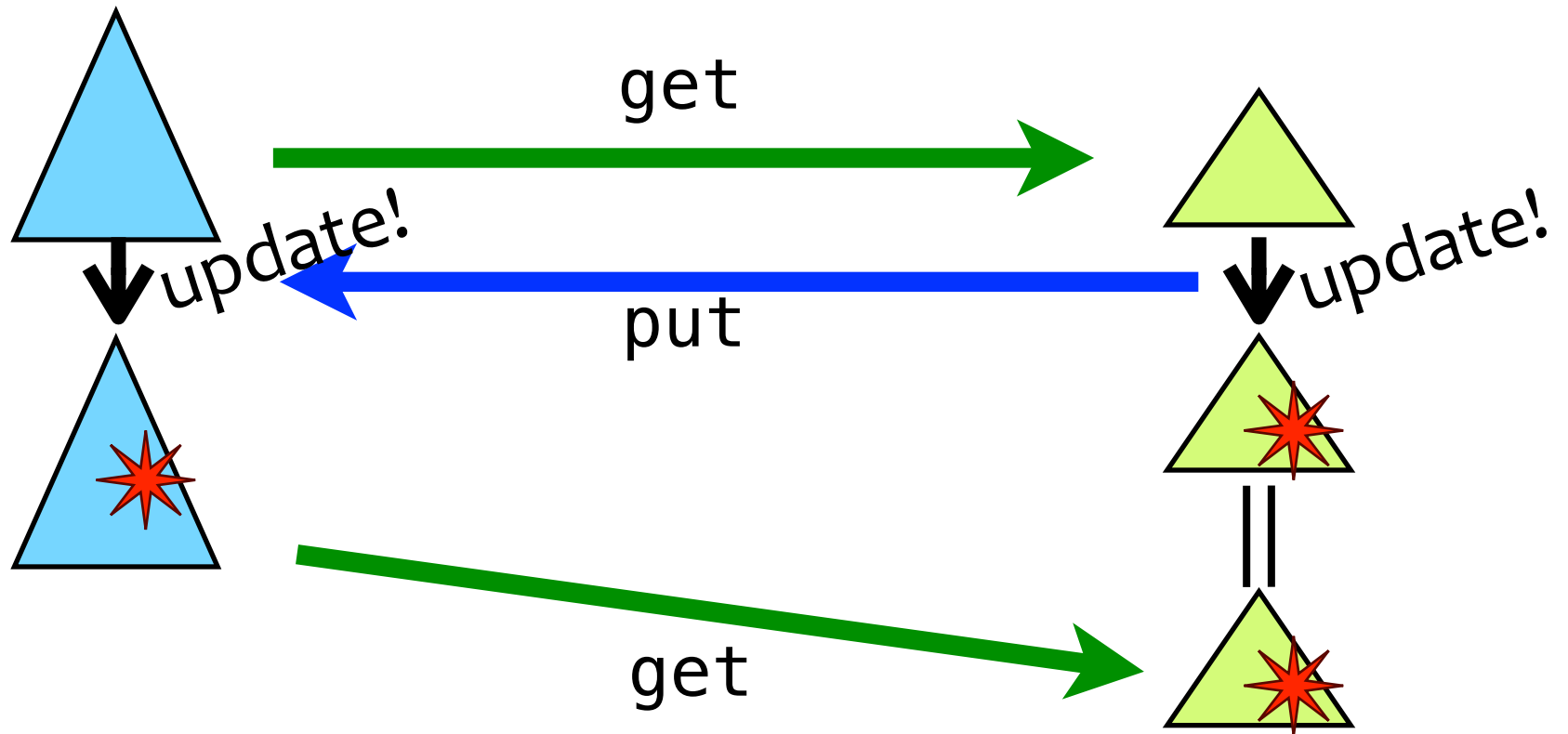
Roundtrip Law



Roundtrip Law



Roundtrip Law



Goal

- ▶ To construct well-behaved bidirectional transformation

Bidirectionalization for Free

Result [Voigtländer 09]

We can derive a well-behaved bidirectional transformation from a get-function of type

$$\forall a. T_1 a \rightarrow T_2 a$$

polymorphic

► Advantage: ***user-friendliness***

- We can use ***arbitrary*** languages
 - as long as the language respects parametricity [Reynolds83]

Limitation

- ▶ The polymorphism requirement is too restrictive

Construction of new elements

```
<bib>
{
  for $b in doc("http://bstore1.example.com/bib.xml")/bib/book
  where $b/publisher = "Addison-Wesley" and $b/@year > 1991
  return
    <book year="{ $b/@year }">
      { $b/title }
    </book>
}
</bib>
```

Comparison with constants

Our Contribution

- ▶ Extends "bidirectionalization for free" [Voigtländer 09]
 - to support many XML transformations
 - that may
 - construct new elements
 - compare elements with constants

Key techniques:

- a **type class** to abstract these operations
- **runtime recording** to guarantee well-behavedness

Outline

- ▶ Review: "bidirectionalization for free"
[Voigtländer 09]
- ▶ Our Approach

Bidirectionalization for Free

Result [Voigtländer 09]

We can derive a well-behaved bidirectional transformation from a get-function of type

$$\forall a. T_1 a \rightarrow T_2 a$$

Bidirectionalization for Free

Result [Voigtländer 09]

We can derive a well-behaved bidirectional transformation from a get-function of type

$$\forall a. [a] \rightarrow [a]$$

Idea

Result [Voigtländer 09]

We can derive a well-behaved bidirectional transformation from a get-function of type

$$\forall a. [a] \rightarrow [a]$$

► Idea: to exploit

"a get-function is *polymorphic*"

- free theorem [Reynolds83, Wadler89]
 - theorem obtained only by "being polymorphic"

Prop. of Polymorphic Func.

Quiz

What are properties of $f :: \forall a. [a] \rightarrow [a]$

Answers

- All the elems in the output come from the input
- Only the "shape" determines the behavior

Example: $f = \text{tail}$

f	$[1, 2]$	$=$	$[2]$
f	$[1, 2, 3]$	$=$	$[2, 3]$
f	$["A", "B"]$	$=$	$["B"]$
f	$["A", "B", "C"]$	$=$	$["B", "C"]$

Prop. of Polymorphic Func.

Quiz

What are properties of $f :: \forall a. [a] \rightarrow [a]$

Answers

- All the elems in the output come from the input
- Only the "shape" determines the behavior

Example: $f = t$

$f [1, 2] = [2]$
 $f [1, 2, 3] = [2, 3]$
 $f ["A", "B"] = ["B"]$
 $f ["A", "B", "C"] = ["B", "C"]$

Free theorem is a generalization of them

Idea to Construct "Put"

get :: $\forall a. [a] \rightarrow [a]$

Given

[A, B, B]

Idea to Construct "Put"

$\text{get} :: \forall a. [a] \rightarrow [a]$

Given
 $[A, B, B] \xrightarrow{\text{get}}$

Idea to Construct "Put"

$\text{get} :: \forall a. [a] \rightarrow [a]$

Given

$[A, B, B] \xrightarrow{\text{get}} [B, B]$

How to Construct "Put"

Assign locations

$\text{get} :: \forall a. [a] \rightarrow [a]$

Given

$[A, B, B] \xrightarrow{\text{get}} [B, B]$

$[A@1, B@2, B@3]$

How to Construct "Put"

Assign locations

$\text{get} :: \forall a. [a] \rightarrow [a]$

Given

$[A, B, B] \xrightarrow{\text{get}} [B, B]$

$[A@1, B@2, B@3] \xrightarrow{\text{get}}$

How to Construct "Put"

Assign locations

$\text{get} :: \forall a. [a] \rightarrow [a]$

Given

$[A, B, B] \xrightarrow{\text{get}} [B, B]$

$[A@1, B@2, B@3] \xrightarrow{\text{get}} [B@2, B@3]$

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Assign locations

$\text{get} :: \forall a. [a] \rightarrow [a]$

Given

$[A, B, B] \xrightarrow{\text{get}} [B, B]$

$[A@1, B@2, B@3] \xrightarrow{\text{get}} [B@2, B@3]$

free theorem

How to Construct "Put"

Assign locations

$\text{get} :: \forall a. [a] \rightarrow [a]$

Given

$[A, B, B] \xrightarrow{\text{get}} [B, B]$

$[A@1, B@2, B@3] \xrightarrow{\text{get}} [B@2, B@3]$

free theorem

Given

$B \rightarrow C@2$

$[C@2, B@3]$

How to Construct "Put"

Assign locations

$\text{get} :: \forall a. [a] \rightarrow [a]$

Given

$[A, B, B] \xrightarrow{\text{get}} [B, B]$

$[A@1, B@2, B@3] \xrightarrow{\text{get}} [B@2, B@3]$

free theorem

$B \rightarrow C@2$

Given

$B \rightarrow C@2$

$[C@2, B@3]$

How to Construct "Put"

Assign locations

$\text{get} :: \forall a. [a] \rightarrow [a]$

Given

$[A, B, B] \xrightarrow{\text{get}} [B, B]$

$[A@1, B@2, B@3] \xrightarrow{\text{get}} [B@2, B@3]$

free theorem

$B \rightarrow C@2$

$B \rightarrow C@2$

We get

$[A@1, C@2, B@3]$

$[C@2, B@3]$

How to Construct "Put"

Assign locations

$\text{get} :: \forall a. [a] \rightarrow [a]$

Given

$[A, B, B] \xrightarrow{\text{get}} [B, B]$

$[A@1, B@2, B@3] \xrightarrow{\text{get}} [B@2, B@3]$

free theorem

$B \rightarrow C@2$

$B \rightarrow C@2$

Given

We get

$[A@1, C@2, B@3] \xrightarrow{\text{get}} [C@2, B@3]$

How to Construct "Put"

Assign locations

$\text{get} :: \forall a. [a] \rightarrow [a]$

Given

$[A, B, B] \xrightarrow{\text{get}} [B, B]$

$[A@1, B@2, B@3] \xrightarrow{\text{get}} [B@2, B@3]$

free theorem

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free theorem

Limitation (Repeated)

- ▶ The polymorphism requirement is too restrictive

Construction of new elements

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{
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</bib>
```

Comparison with constants

Outline

- ▶ Review: "bidirectionalization for free"
[Voigtländer 09]
- ▶ **Our Approach**

Simplified Example

► findA:

- Extracts the first sublist starting "A", prepending "R"

$[C, B, A, D] \xrightarrow{\text{findA}} [R, A, D]$

$[C, B, B, D] \xrightarrow{\text{findA}} [R]$

Naive Realization

- ▶ Monomorphic, impossible to apply "bidirectionalization for free"

```
findA :: [String] → [String]
findA x = "R":go x
  where
    go [] = []
    go (a:x) = if a == "A" then
                  a:x
                else
                  go x
```

First Trial

- ▶ A type class to abstract the operations

```
findA :: ∀a. StringLike a => [a] → [a]
findA x = new "R":go x
  where
    go [] = []
    go (a:x) = if a `eq` new "A" then
                 a:x
               else
                 go x
```

```
class StringLike a where
  new :: String → a
  eq  :: a → a → Bool
```

Abstracting:

- new data creation
- equivalence-check

Successful Case

Given
[C, A, D] $\xrightarrow{\text{findA}}$ [R, A, D]

Successful Case

Given

[C, A, D]

findA



[R, A, D]

[C@1, A@2, D@3]

Successful Case

Given

[C, A, D]

findA



[R, A, D]

[C@1, A@2, D@3]

findA



[R@#, A@2, D@3]

Successful Case

Given

[C, A, D]

findA



[R, A, D]

[C@1, A@2, D@3]

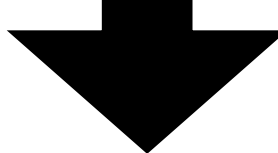
findA



[R@#, A@2, D@3]

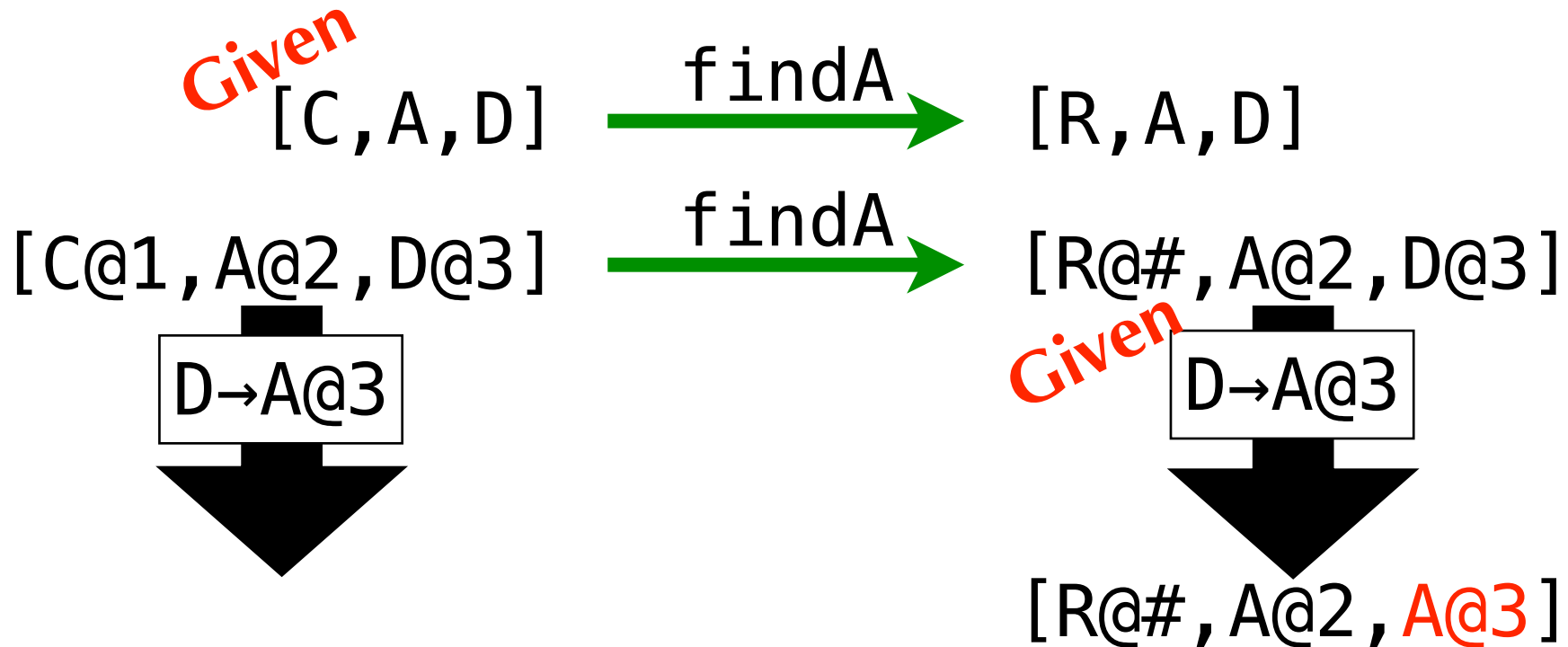
Given

D→A@3

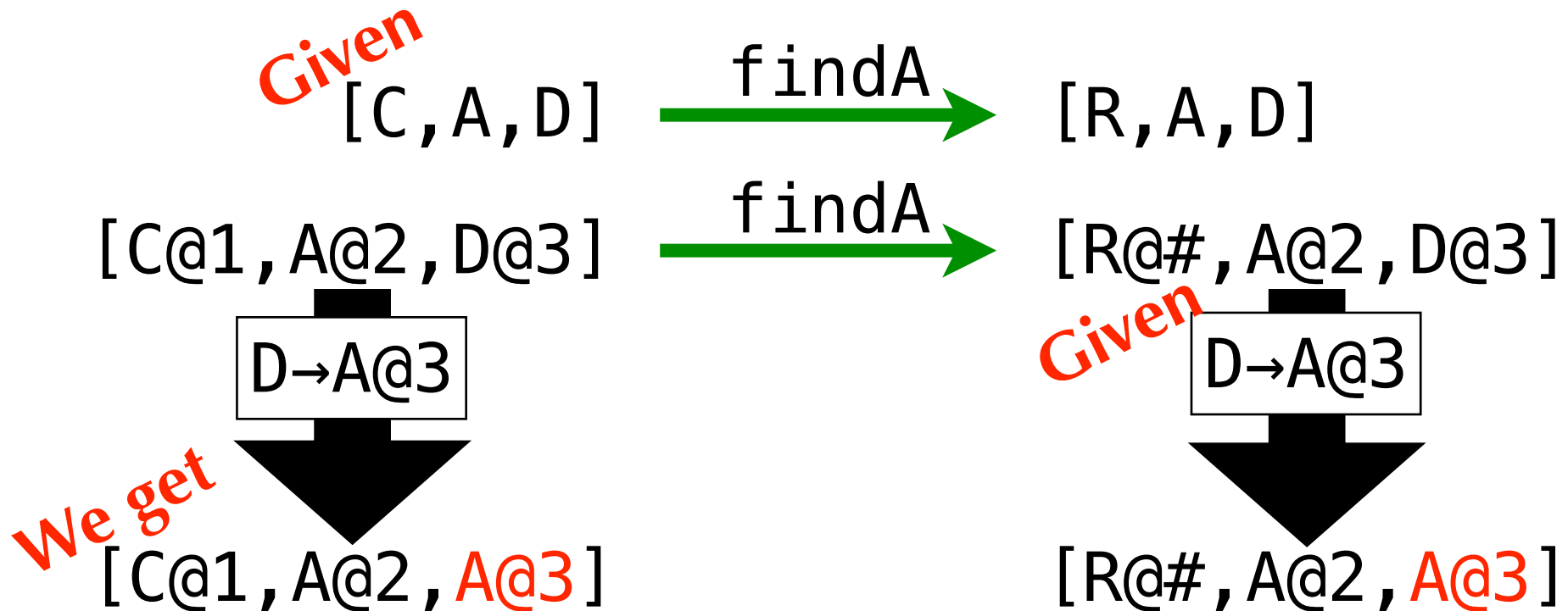


[R@#, A@2, A@3]

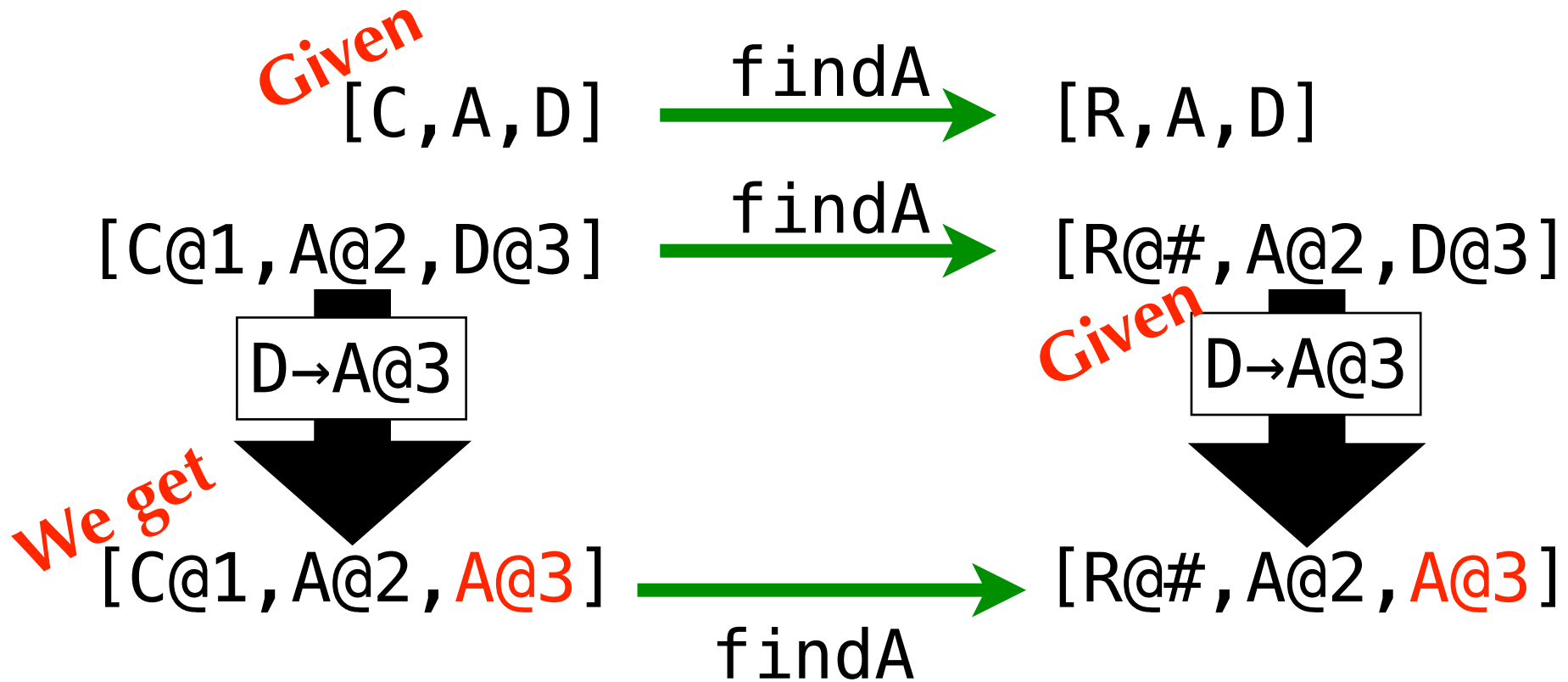
Successful Case



Successful Case

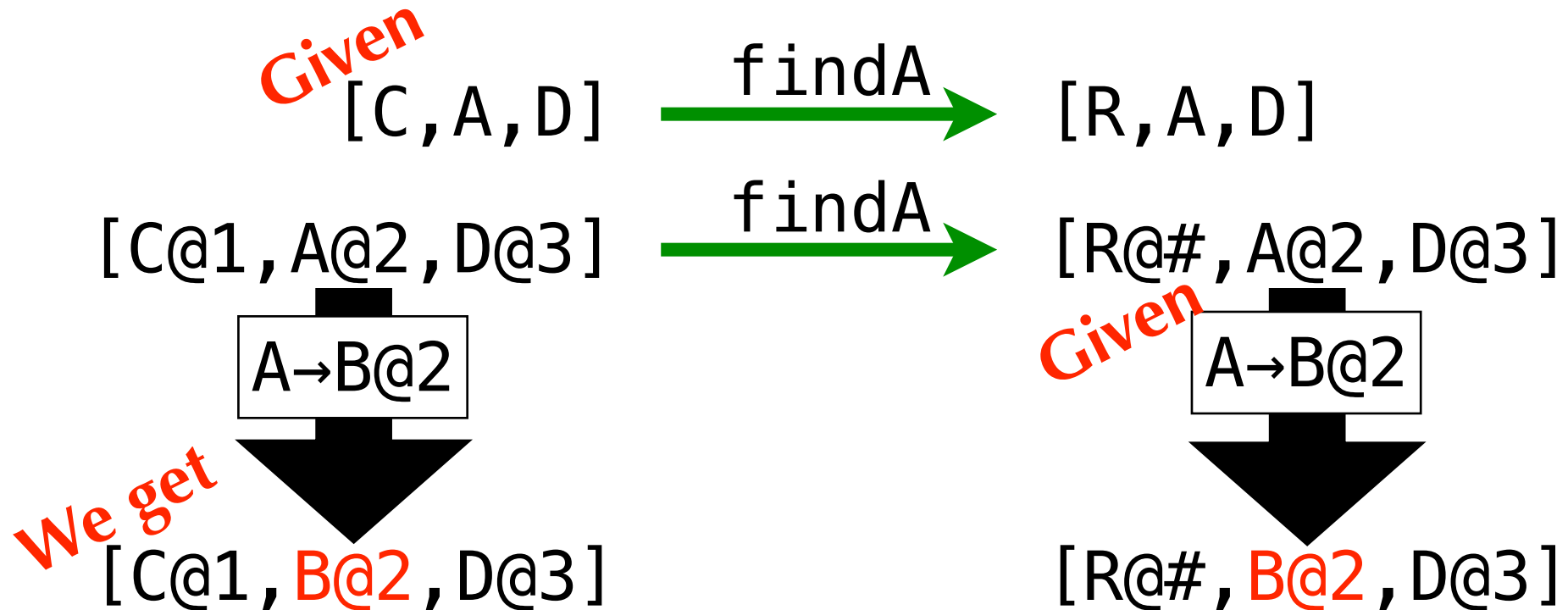


Successful Case



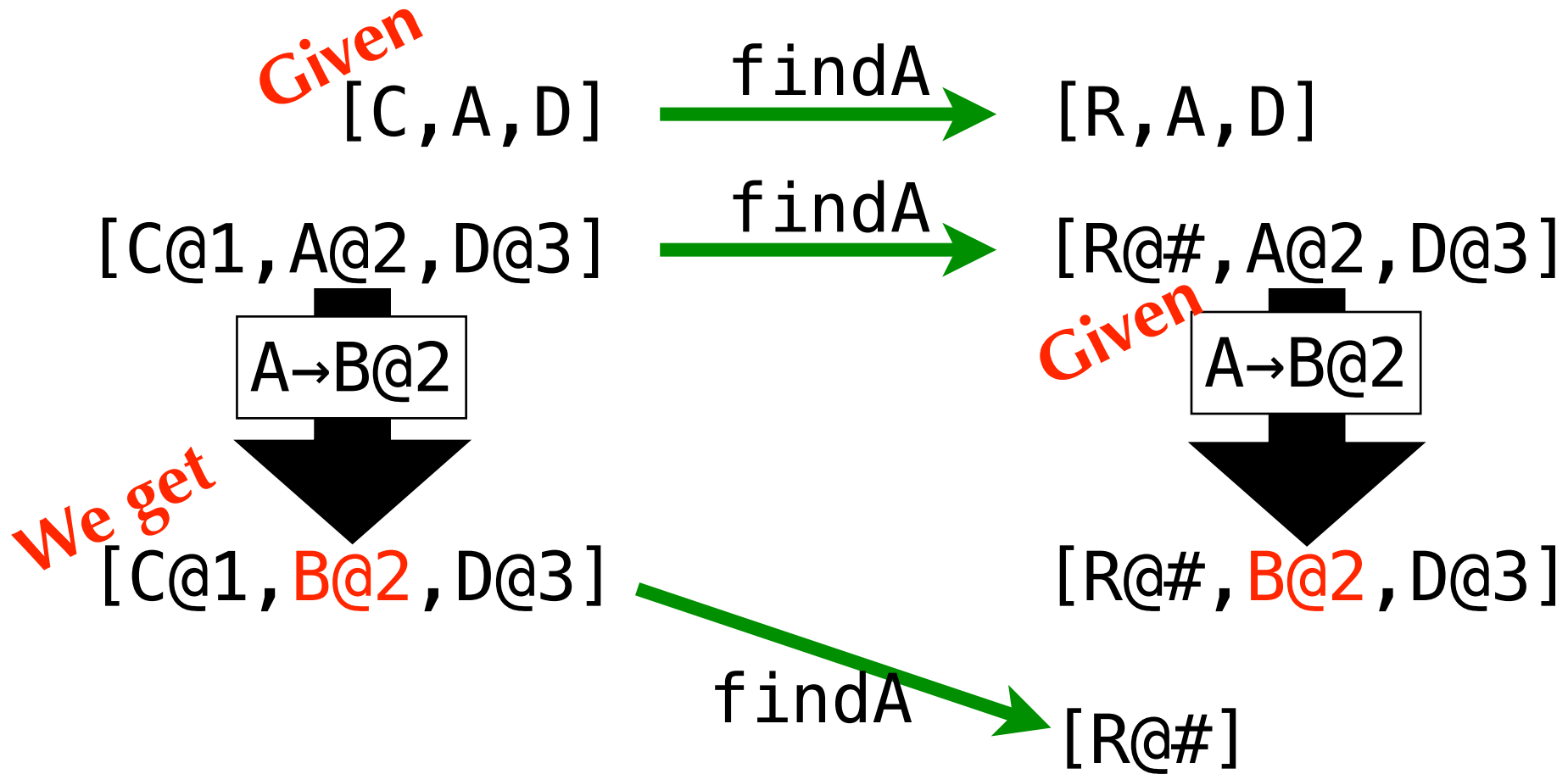
Problem

- ▶ Well-behavedness is violated



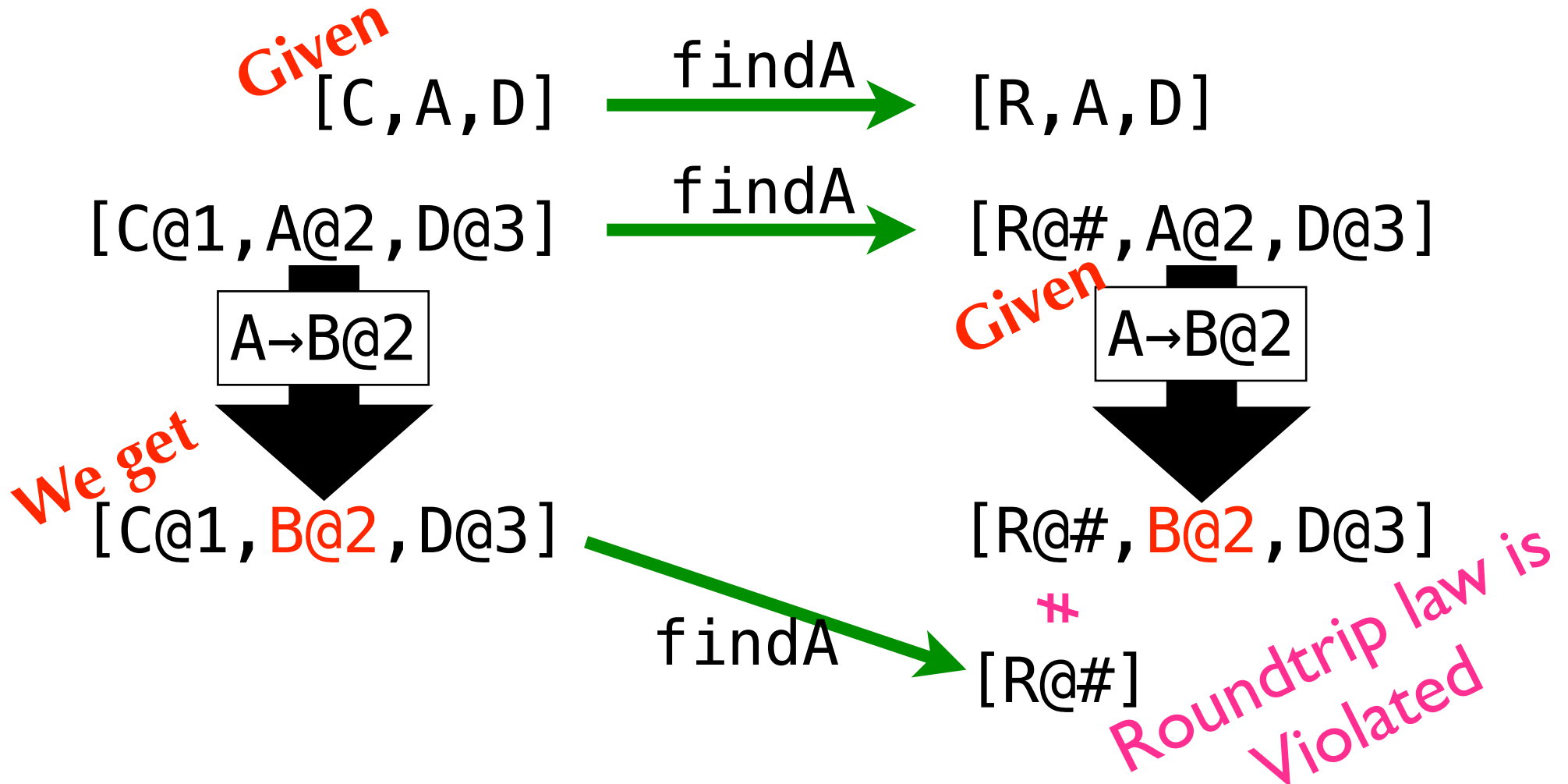
Problem

- ▶ Well-behavedness is violated



Problem

- ▶ Well-behavedness is violated



Question

What causes the difference?

Update @3 does not violate well-behavedness

$[C@1, A@2, D@3] \xrightarrow{\text{findA}} [R@#, A@2, D@3]$

Update @2 violates well-behavedness

Answer

A@2 is **observed** by `findA`
but **D@3** is not

`[C@1, A@2, D@3]` $\xrightarrow{\text{findA}}$ `[R@#, A@2, D@3]`

```
findA :: ∀a. StringLike a => [a] → [a]
findA x = new "R":go x
  where
    go [] = []
    go (a:x) = if a `eq` new "A" then
                  a:x
                else
                  go x
```

Changing **A@2** affects control path

Our Idea

- ▶ To use a **monad** to record **observations**
- ▶ To check if the recorded **observations** are kept consistent through updates

```
findA :: ∀a.∀m.StringLike a m => [a] → m [a]  
...
```

```
class Monad m => StringLike a m where  
  new :: String → a  
  eq  :: a → a → m Bool
```

Our Bidirectionalization

`get :: ∀a.∀m.StringLike a m.[a] → m [a]`

Given

`[C,A,D]`

Our Bidirectionalization

`get :: ∀a.∀m.StringLike a m.[a] → m [a]`

Given
`[C, A, D]` $\xrightarrow{\text{get}}$

Our Bidirectionalization

`get :: ∀a.∀m.StringLike a m.[a] → m [a]`

Given `[C, A, D]` $\xrightarrow{\text{get}}$ `[R, A, D]`

Our Bidirectionalization

`get :: ∀a.∀m.StringLike a m.[a] → m [a]`

Given

`[C, A, D]` $\xrightarrow{\text{get}}$ `[R, A, D]`

`[C@1, A@2, D@3]`

Our Bidirectionalization

`get :: ∀a.∀m.StringLike a m.[a] → m [a]`

Given

`[C, A, D] $\xrightarrow{\text{get}}$ [R, A, D]`

`[C@1, A@2, D@3] $\xrightarrow{\text{get}}$`

Our Bidirectionalization

get :: $\forall a. \forall m. \text{StringLike } a \ m. [a] \rightarrow m [a]$

Given

$[C, A, D] \xrightarrow{\text{get}} [R, A, D]$

$[C@1, A@2, D@3] \xrightarrow{\text{get}} [R@#, A@2, D@3]$

C@1	A@#	F
A@2	A@#	T

Our Bidirectionalization

$\text{get} :: \forall a. \forall m. \text{StringLike } a \ m. [a] \rightarrow m [a]$

Given

$[C, A, D] \xrightarrow{\text{get}} [R, A, D]$

$[C@1, A@2, D@3] \xrightarrow{\text{get}} [R@#, A@2, D@3]$

C@1	A@#	F
A@2	A@#	T

Given

D→A@3

Our Bidirectionalization

$\text{get} :: \forall a. \forall m. \text{StringLike } a \ m. [a] \rightarrow m [a]$

Given

$[C, A, D] \xrightarrow{\text{get}} [R, A, D]$

$[C@1, A@2, D@3] \xrightarrow{\text{get}} [R@#, A@2, D@3]$

C@1	A@#	F
A@2	A@#	T

Given

$D \rightarrow A@3$

$[R@#, A@2, A@3]$

C@1	A@#	F
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Our Bidirectionalization

$\text{get} :: \forall a. \forall m. \text{StringLike } a \ m. [a] \rightarrow m [a]$

Given

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$[C@1, A@2, D@3] \xrightarrow{\text{get}} [R@#, A@2, D@3]$

C@1	A@#	F
A@2	A@#	T

Given

D → A@3

$[R@#, A@2, A@3]$

C@1	A@#	F
A@2	A@#	T

consistent!

Our Bidirectionalization

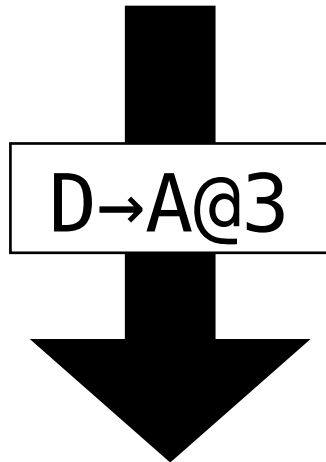
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Given

$[C, A, D] \xrightarrow{\text{get}} [R, A, D]$

$[C@1, A@2, D@3] \xrightarrow{\text{get}} [R@#, A@2, D@3]$

C@1	A@#	F
A@2	A@#	T



Given

$D \rightarrow A@3$

$[R@#, A@2, A@3]$

C@1	A@#	F
A@2	A@#	T

consistent!

Our Bidirectionalization

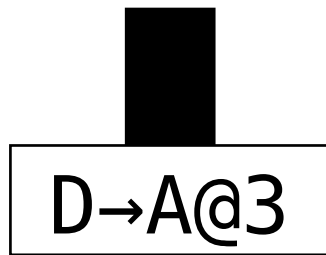
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Given

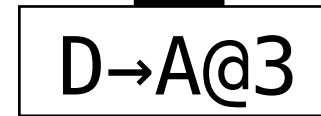
$[C, A, D] \xrightarrow{\text{get}} [R, A, D]$

$[C@1, A@2, D@3] \xrightarrow{\text{get}} [R@#, A@2, D@3]$

C@1	A@#	F
A@2	A@#	T



Given



We get

$[C@1, A@2, A@3]$

$[R@#, A@2, A@3]$

C@1	A@#	F
A@2	A@#	T

consistent!

Our Bidirectionalization

$\text{get} :: \forall a. \forall m. \text{StringLike } a \ m. [a] \rightarrow m [a]$

Given

$[C, A, D] \xrightarrow{\text{get}} [R, A, D]$

$[C@1, A@2, D@3] \xrightarrow{\text{get}} [R@#, A@2, D@3]$

C@1	A@#	F
A@2	A@#	T

D → A@3

Given

D → A@3

We get

$[C@1, A@2, A@3] \xrightarrow{\text{get}} [R@#, A@2, A@3]$

C@1	A@#	F
A@2	A@#	T

free theorem

consistent!

Rejecting NG Updates

`get :: ∀a.∀m.StringLike a m.[a] → m [a]`

Given

`[C, A, D]` $\xrightarrow{\text{get}}$ `[R, A, D]`

`[C@1, A@2, D@3]` $\xrightarrow{\text{get}}$ `[R@#, A@2, D@3]`

C@1	A@#	F
A@2	A@#	T

Rejecting NG Updates

$\text{get} :: \forall a. \forall m. \text{StringLike } a \ m. [a] \rightarrow m [a]$

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$[C@1, A@2, D@3] \xrightarrow{\text{get}} [R@#, A@2, D@3]$

C@1	A@#	F
A@2	A@#	T

Given

A→B@2

Rejecting NG Updates

$\text{get} :: \forall a. \forall m. \text{StringLike } a \ m. [a] \rightarrow m [a]$

Given

$[C, A, D] \xrightarrow{\text{get}} [R, A, D]$

$[C@1, A@2, D@3] \xrightarrow{\text{get}} [R@#, A@2, D@3]$

C@1	A@#	F
A@2	A@#	T

Given

A → B@2

$[R@#, B@2, D@3]$

C@1	A@#	F
B@2	A@#	T

Rejecting NG Updates

$\text{get} :: \forall a. \forall m. \text{StringLike } a \ m. [a] \rightarrow m [a]$

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$[C@1, A@2, D@3] \xrightarrow{\text{get}} [R@#, A@2, D@3]$

C@1	A@#	F
A@2	A@#	T

Given

A → B@2

$[R@#, B@2, D@3]$

C@1	A@#	F
B@2	A@#	T

inconsistent!

Rejecting NG Updates

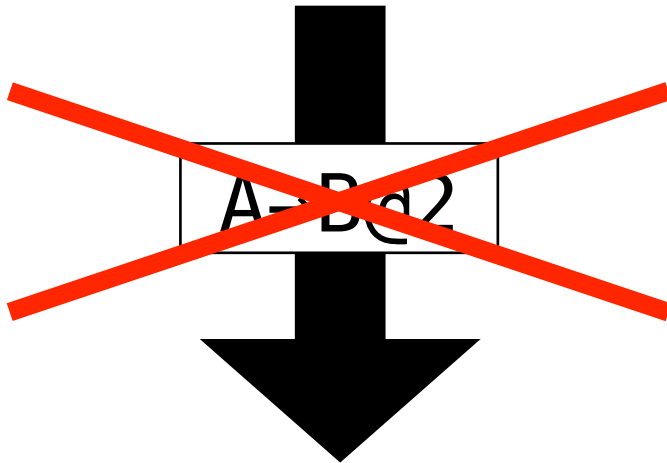
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Given

A → B@2

$[R@#, B@2, D@3]$

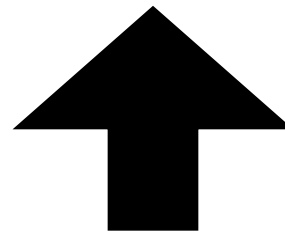
C@1	A@#	F
B@2	A@#	T

inconsistent!

Generalization

```
get :: ∀a.∀m. PackM c a m => T1 a → m (T2 a)
```

```
class (Monad m, Pack c a) => PackM c a m where  
  lift0 :: Eq r => ([c] → r) → [a] → m r  
class Pack c a where  
  new :: c → a
```



generalize!

```
get :: ∀a.∀m. StringLike a m => [a] → m [a]
```

```
class Monad m => StringLike a m where  
  new :: String → a  
  eq   :: a → a → m Bool
```

Our Result

Result

We can derive a well-behaved bidirectional transformation from a get-function of type

$$\forall a. \forall m. \text{PackM } c \ a \ m \Rightarrow T_1 \ a \rightarrow m \ (T_2 \ a)$$

```
class (Monad m, Pack c a) => PackM c a m where
  lift0 :: Eq r => ([c] → r) → [a] → m r
class Pack c a where
  new :: c → a
```

lifting comparison for
runtime recording

lifting creation of new elements

In the Paper ...

- ▶ Formal requirement on T_1 and T_2
- ▶ Formal proofs of the correctness

Result

We can derive a well-behaved bidirectional transformation from a get-function of type

$$\forall a. \forall m. \text{PackM } c \ a \ m \Rightarrow T_1 \ a \rightarrow m \ (T_2 \ a)$$

- ▶ XML-transformation example
 - based on filters as in HaXML [Wallace&Runciman99]

Related Work

- ▶ Other approaches to construct bidirectional transformations
 - Combinator-based [Foster+07, Hu+04, ...]
 - Bidirectionalization [M+07, Voigtländer09, ...]

Advantage in "bidirectionalization for free" (incl. ours)

user-friendliness

"get" can be *arbitrary* as long as it has a certain polymorphic type

Related Work

- ▶ Runtime-recording
 - Treatment of conditionals in [Foster+07, M+07]

Our Work

Reformalization of the idea in the context of "bidirectionalization for free" [Voigtländer09]

Conclusion

- ▶ An extension to "bidirectionalization for free" [Voigtländer 09]
 - Supporting various XML transformations
 - A **type class** to abstract concrete datatypes
 - **Runtime-recording** for well-behavedness

```
<bib>
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      { $b/title }
    </book>
}
</bib>
```

Conclusion

- ▶ An extension to "bidirectionalization for free" [Voigtländer 09]
 - Supporting various XML transformations
 - A **type class** to abstract concrete datatypes
 - **Runtime-recording** for well-behavedness

implementation available!
<https://bitbucket.org/kztk/cheap-b18n>

```
<bib>
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  for $b in http://bstore1.ecampus.utah.edu/bib.xml/bib/book
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}
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```

Appendix

XML Transformation

► Assumption

- XML documents are represented in the following rose trees

```
data Tree a = Node a [Tree a]
data L = E String | A String
        | T String
```

Idea

- ▶ Follow HaXml [Wallace&Runciman99]
 - Use filters
 - functions of type $\text{Tree } L \rightarrow [\text{Tree } L]$
 - In our situation, we use

$$\text{PackM } L \ a \ m \Rightarrow$$
$$\text{Tree } a \rightarrow \text{ListT } m \ (\text{Tree } a)$$

XML Example

```
q1 :: PackM L a m => Tree a -> m (Tree a)
q1 t = pick
      $ do bs <- gather $ (keep /> (tag "book" >=> h) t
        return $ Node (new $ E "bib") bs
  where
    h b =
      do y <- (keep /> attr "year" /> keep) b
         t <- (keep /> tag "title") b
         p <- (keep /> tag "publisher" /> keep) b
         guardM $ lift $
           lift02 gtInt (label y) (new $ T "1991")
         guardM $ lift $ lift02
           lift02 (==) (label p) (new $ T "Addison-Wesley")
         return $ Node (new $ E "book")
           [Node (new $ A "year") [y]:t]
...
tag t = ofLabel (new $ E t)
...
gather :: Monad m => ListT m a -> ListT m [a]
pick  :: Monad m => ListT m a -> m a
```